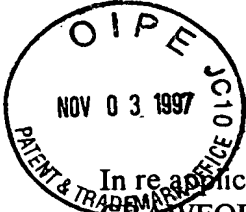


Sector #



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
CRAWFORD and LINDENSTRUTH

Serial No. 08/795,592

Filed: February 6, 1997

For: *Apparatus and Method for Managing  
Digital Resources by Controlling  
Tokens Associated with Digital  
Resources*

Attorney Docket No. A-63739/WSG

Date: November 3, 1997

"EXPRESS MAIL" MAILING

LABEL NUMBER EM 463 312 867 US

DATE OF DEPOSIT November 3, 1997

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: The Assistant Commissioner for Patents, Washington, DC 20231.

TYPED NAME Bobbie Jutras

SIGNED Bobbie Jutras

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application under 37 C.F.R. §1.53(d) mailed May 2, 1997, attached please find:

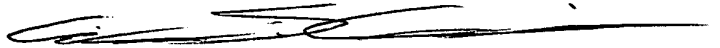
- [x] PTO Form No. 1533;
- [x] the surcharge of \$65.00 as set forth in 37 C.F.R. §1.16(e);
- [x] a Declaration executed in counter-part by Henry J. Crawford;
- [x] an Assignment executed in counter-part by Henry J. Crawford, with Recordation Form Cover Sheet;
- [x] a Power of Attorney by Assignee and Exclusion of Inventors Under 37 C.F.R. §3.71;
- [x] a Declaration of Small Entity Status;

- [x] a Petition for Extension of Time;
- [x] Petition under 37 C.F.R. §1.47(a);
- [x] Declaration of William S. Galliani under 37 C.F.R. §1.47(a);
- [x] Declaration of Henry J. Crawford on behalf of Volker Lindenstruth, under 37 C.F.R. §1.47(a); and
- [x] a check in the amount of \$860.00 to cover assignment, missing part, and extension fees; and a check in the amount of \$130.00 to cover the petition fee.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment to Deposit Account No. 06-1300 (Order No. A-63739/WSG). This form is submitted in duplicate for such purpose.

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP



By:

\_\_\_\_\_  
William S. Galliani  
Registration No. 33,885

4 Embarcadero Center, Suite 3400  
San Francisco, CA 94111-4187  
(650) 494-8700



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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MARKET TECHNOLOGY  
ALBERTSON AND HARRISON  
SUITE 3000  
FOUR CORNERLAND CENTER  
SAN FRANCISCO CA 94111

DATE MAILED: 2006

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 575.00.

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☒ insufficient.

Applicant must submit \$ 365.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 80.00, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.  
☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.49(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

11/26/1997 DBEACH 00000009 08795592  
65.00 DP  
40.00 DP  
130.00 DP